



IAP6 Rec'd PCT/PTO 27 JUL 2006

PATENT

Attorney Docket No.: HANOL-10659

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: 10/562,615  
Serial No.: 10/562,615  
371(c) File Date: 23 December 2005  
Entitled: **Tricyclic Derivatives or Pharmaceutically Acceptable Salts Thereof, Their Preparations and Pharmaceutical Compositions Containing Them**

Group No.:

Examiner:

**RESPONSE TO NOTIFICATION OF  
MISSING REQUIREMENTS UNDER 35 U.S.C. 371  
IN THE UNITED STATES DESIGNATED/ELECTED  
OFFICE (DO/EO/US) MAILED 04/24/2006**

**MAIL STOP MISSING PARTS**

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

**CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)(1)(i)(A)**

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: **Mail Stop Missing Parts**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: July 24, 2006By: 

Jennifer B. Xistris

Sir or Madam:

In response to the **Notification Of Missing Requirements Under 35 U.S.C. 371 In the United States Designated/Elected Office (DO/EO/US)** mailed **04/24/2006** (a copy of which is enclosed), applicant(s) submit(s) the following documents:

- Executed Declaration or Oath;
- An Assignment document for recording, whereby the subject application and the invention disclosed therein is assigned to JE IL Pharmaceutical Co., Ltd.; and a Recordation Form Cover Sheet – Patents Only (Form PTO-1595);
- Power Of Attorney By Assignee;
- Request for Extension of Time for filing within the first month;

A check in the amount of **\$165.00** is enclosed herewith to cover:

**\$65.00** Surcharge for filing missing parts of an application

**\$40.00** Assignment Recordation Fee

**\$60.00** Fee for filing a one-month extension of time

The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s), and/or credit any overpayment, to **Deposit Account No. 08-1290**. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Date: July 24, 2006

08/02/2006 HKAYPAGH 00000103 10562615

01 FC:2617 65.00 OP

08/02/2006 HKAYPAGH 00000103 10562615

02 FC:2251 60.00 OP

  
Tanya Arenson

Registration No.47,391

MEDLEN &amp; CARROLL, LLP

101 Howard Street, Suite 350

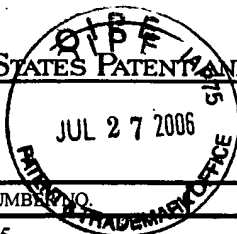
San Francisco, California 94105

608/218-6900

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*MAD*



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/562,615	Myung-Hwa Kim	HANOL-10659

Tanya A Arenson  
Medlen & Carroll  
101 Howard Street Suite 350  
San Francisco, CA 94105

**RECEIVED**

APR 28 2006

MEDLEN &amp; CARROLL

INTERNATIONAL APPLICATION NO.

PCT/KR04/01518

I.A. FILING DATE

PRIORITY DATE

06/23/2004

06/25/2003

CONFIRMATION NO. 6138

371 FORMALITIES LETTER



\*OC000000018595837\*

Date Mailed: 04/24/2006

*Resp. 6/24/06 ew*

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 12/23/2005
- Copy of the International Search Report filed on 12/23/2005
- U.S. Basic National Fees filed on 12/23/2005
- Priority Documents filed on 12/23/2005
- Specification filed on 12/23/2005
- Claims filed on 12/23/2005
- Drawings filed on 12/23/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$65** for a Small Entity:

- \$65 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/562,615	PCT/KR04/01518	HANOL-10659